

Assessment of Post COVID-19 Rehabilitation Programme using Post-Acute Lung Injury Functional Scale

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ABSTRACT

Background: Since its outbreak in China in January 2020, Coronavirus Disease 2019 (COVID-19) has spread worldwide and then, the World Health Organization declared that outbreak as a pandemic. The predominant pathological change is diffuse lung injury, most of the patients suffered from intra-alveolar fibrinous exudates and pulmonary interstitial fibrosis, resulting in hypoxia, dyspnoea, and functional disabilities. Cardiopulmonary rehabilitation in COVID-19 patients is a nonpharmacological intervention which is still in evolving phase. Due to insufficient availability of data on functional assessment of any patient who has suffered a lung injury, this study has formulated a grading system for them. Also, we studied our patient data retrospectively to study the impact of cardiopulmonary rehabilitation in recovery of these patients.

Methods: This retrospective study was taken to do Functional assessment of patients with COVID-19 using PALIFS (Post-Acute Lung Injury Functional Scale). Along with formulating and executing individually tailored cardiopulmonary rehabilitation programme this study also focused on change in hemodynamic parameters, exercise tolerance and musculoskeletal strength before and after cardiopulmonary rehabilitation.

Results: A statistically significant difference (p value <0.0001) was found in oxygen saturation level, heart rate at resting as well as post activity. Also, statistically significant difference in exercise tolerance and musculoskeletal strength post cardiopulmonary rehabilitation. Significantly slower recovery with advanced age but not with gender change and presence or absence of comorbidities.

Conclusion: Cardiopulmonary rehabilitation had a positive effect in recovery of COVID-19 patients. Also, the PALIFS was found to be an easy, effective, and appropriate tool for the functional assessment of COVID-19 patients.

Key words: COVID-19, Rehabilitation, Pulmonary, Functional scale, Lung injury

INTRODUCTION

Since its outbreak in China in January 2020, Coronavirus Disease 2019 (COVID-19) has spread worldwide and has become a global public health emergency. And then, the World Health Organization declared the outbreak a pandemic.

The primary clinical manifestations of COVID-19 are fever, cough, dyspnoea, and myalgia;¹ however, severe cases can rapidly progress to acute respiratory distress

syndrome (ARDS). In addition, some patients can develop acute myocardial and kidney injuries.² The latest pathological reports indicate that the predominant pathological changes in early-3 and late-stage patients are diffuse lung injuries, although some patients also suffered from intra-alveolar fibrinous exudates and pulmonary interstitial fibrosis. Moreover, the virus also affects other organs such as the heart, liver, and kidneys to various

degrees.⁴ These changes contribute to hypoxemia and impaired cardiopulmonary and organ functions throughout the body. Currently, evidence on the prognosis of patients with COVID-19 is insufficient, especially for elderly patients in whom the disease is complicated by other basic diseases. It remains unclear whether the impairment of multiple systemic functions is reversible or if the long-term existence of the virus can cause physical dysfunction in these patients. In addition, because COVID-19 has caused a public emergency, patients with COVID-19 may demonstrate different degrees of psychological disorders, such as anger, fear, anxiety, depression, insomnia, and loneliness, as well as a lack of cooperation and abandonment of treatment due to fear of the disease.⁵ Even when discharged, the patients may experience post-traumatic stress syndrome. Therefore, prompt introduction and continuous availability of pulmonary rehabilitation services is critical for patients with COVID-19.

Pulmonary Rehabilitation

Pulmonary rehabilitation refers to the individualized rehabilitation treatment of patients with chronic pulmonary diseases after a detailed assessment. With exercise training as its core, pulmonary rehabilitation comprises comprehensive interventions, including but not limited to psychological and nutritional support, as well as education and behavioural changes.⁶ The goal of pulmonary rehabilitation is to not only improve the patient's physical and mental conditions but also help the patient return to family and society more promptly.

The benefits include improved exercise tolerance in patients with chronic pulmonary diseases, reduced number of hospital admissions and length of hospital stays, enhanced health-related quality of life,⁵ improved respiratory muscle function and relieved dyspnea,⁷ alleviated disease-related anxiety and depression,⁸ and enhanced skeletal muscle function of upper and lower limbs.^{9,10}

Evidence for Pulmonary Rehabilitation of Patients with SARS, COVID 19

Follow-up studies have shown that after discharge, patients with severe acute respiratory syndrome (SARS) can still suffer from symptoms, such as restrictive pulmonary dysfunction, palpitations, hand tremors, and exertional dyspnoea, all of which affect their daily activities and impair their quality of life.^{11,12} It has been suggested that these symptoms are associated with prolonged bed rest, adverse effects of steroid medications, and residual pathological changes, such as atelectasis, persistent alveolitis, pulmonary fibrosis, and varying degrees of muscle weakness or dysfunction.¹³ In addition, a 1-year follow-up of patients with ARDS showed that survivors of ARDS exhibit persistent functional disability one year after discharge from the intensive care unit. Most patients have extrapulmonary conditions, with muscle wasting and weakness being most prominent.¹⁴ Also, pathological changes such as pulmonary fibrosis, have been dominant in patients with COVID-19, we speculate that damage to the lung and other organ systems caused by SARSCoV-2, especially in severe patients with ARDS, may lead to residual physical dysfunction of varying degrees. Thus, the evidence for pulmonary rehabilitation of patients with SARS provides strong support and reference for the development of pulmonary rehabilitation programs for patients with COVID-19.

Need for the study: Cardiopulmonary rehabilitation in COVID-19 patients is a nonpharmacological intervention which is still in evolving phase. We got study material on the same, but it is insufficient on functional assessment of any patient who has suffered a lung injury due to any cause. Hence, we formulated a grading system for them which will include patient's mobility, oxygen dependency and ability to perform activities of daily living. Also, we studied our patient data retrospectively to study the

effects of cardiopulmonary rehabilitation in these patients.

MATERIALS

- Pulse oximeter
- Digital Blood Pressure Equipment
- Stationary cycle/ Pedal Bedside cycle
- Oxygen supply
- Silicon tubing extension for oxygen supplementation
- Electronic bed
- Thera Bands
- Free weights- Dumbbell and weight cuffs of 0.5 to 2kg
- Stepper
- Treadmill
- Active Cycle of Breathing Technique for Airway Clearance
- A chair



Thera bands and Thera tubes



Treadmill



Stationary Cycle



Free weights



Stepper



Pedal Bicycle



Silicon tubes for extension of oxygen

- Post-Acute lung injury Functional Scale:
The following grading system is made to classify patients as per their impairments and need for cardiopulmonary rehabilitation.

Post-Acute lung Injury Functional Scale

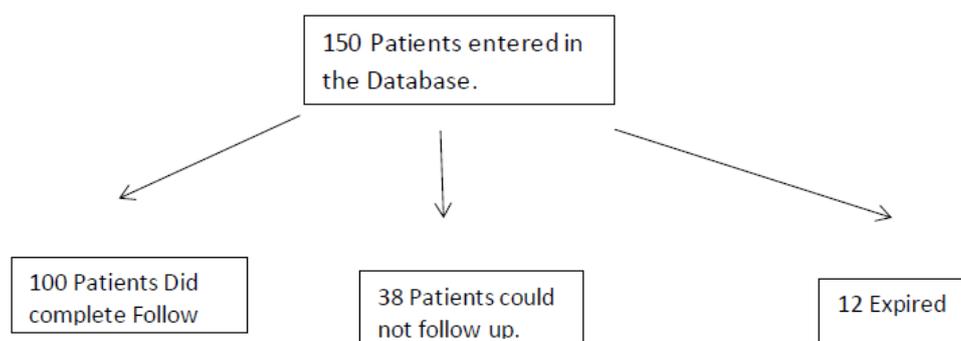
Grade 0	No residual symptoms, SpO ₂ >95% on room air, Asymptomatic Symptom limited walk test
Grade 1	Breathlessness/Fatigue during BADL but no need of Oxygen supplementation as SpO ₂ >95%, can perform Symptom limited walk test.
Grade 2	Breathlessness/Fatigue during BADL, needs low flow oxygen supplementation to maintain SpO ₂ >95%, can still perform Symptom limited walk test.
Grade 3	Comfortable with Low flow oxygen supplementation at rest but needs High flow oxygen supplementation during activities, able to do bedside activities independently or with minimal support.
Grade 4	Needs Continuous High flow oxygen supplementation but able to do bedside activities with support.
Grade 5	Needs intermittent/ continuous pressure support ventilation along with high flow oxygen supplementation, able to do in bed activities.

BADL= Basic Activities of Daily Living, SpO₂-Peripheral Capillary Oxygen Saturation

This functional grading was assessed and scrutinized by the group of physicians in our institute and after the approval from our institutional ethics committee, we started using for our patient population which then got considered for this study protocol.

METHODOLOGY

- COVID-19 patients included from Last week Of May 2020 to second week of January-2021.
- As per the Study protocol Chest Physiotherapy sessions started after hemodynamic stability, an individually tailored graded exercise programme was formulated.



Hence, for this study Sample size we got it as 100.

Aim of the study:

To study the impact of cardiopulmonary rehabilitation in recovery of COVID-19 patients.

Type of Study: Retrospective

Objectives:

1. To do Functional assessment of patients with COVID-19 using PALIFS on weekly basis.
2. Formulate and execute individually tailored cardiopulmonary rehabilitation program.
3. To study SpO₂ and HR before and after cardiopulmonary Rehabilitation.

4. To study the change in exercise tolerance (duration) before and after cardiopulmonary rehabilitation.
5. To study the change in musculoskeletal strength before and after cardiopulmonary rehabilitation.
6. To study length of the hospital, stay with respect to age, gender, comorbidities, and early and late cardiopulmonary rehabilitation.

Inclusion criteria:

1. Age group: 18-90yrs
2. All genders included
3. Cardiac stability- absence of arrhythmias, no inotropic drugs required.
4. In case of NIV or Invasive ventilation, when FiO₂ <50%, PEEP/CPAP <10cm of H₂O.

5. If patient had Pneumothorax, then after resolution of it with ICD or High Flow O₂ therapy.

- Sit to stand from the bed.
- Standing unsupported
- Sit to stand in chair.

Exclusion criteria:

1. Unstable vitals.
2. Increase in breathlessness or signs of ARDS.
3. Untreated Pneumothorax or Pneumomediastinum.
4. Sudden chest pain.
5. Sudden increase in requirement of oxygen.

Assessment:

1. Hemodynamic markers: O₂ saturation, Blood pressure and Heart rate
2. Post-Acute Lung Injury Functional Scale
3. Symptom limited walk test.
4. MMT for strength assessment (both Upper limb and Lower limb)
5. 1 Minute Sit to stand (Optional)
6. CT Scan/ X-ray -Chest for reference

Physiotherapy intervention/ Cardiopulmonary Rehabilitation:

To improve ventilation/ reduce anxiety/ improve oxygen saturation:

- Breathing control exercises.
- Breathing retraining.
- Dyspnea relieving positions.
- Positional rotation (supine but propped up/prone/side lying/sitting supported)

Functional training:

- Sitting unsupported in the bed
- Sitting at the edge of the bed with legs dangling

Progressing it with Aerobic training:

- Bedside walking with or without oxygen support
- Gradually increase the duration of walk
- Gradually reduce the oxygen requirement
- Cycling:
 1. Bedside Pedal cycle
 2. Progression with stationary cycle
 3. Increase the duration then the Speed/RPM.
- Stepping with stepper: start with 5 then progression up to 30 steps.

To improve peripheral oxygen uptake/ strength training:

- General conditioning exercises with active range of motion exercises from distal to proximal joints.
- Progress from in bed to bedside or from supported to unsupported position.
- Use of free weights for upper limb from 0.5kg to 1kg, progress from 5 reps to 20 reps.
- Use of Thera band and Thera tube exercises for upper back and shoulder muscles
- For lower limb strengthening, from AROM exercises to weight bearing exercises like heel raises, squatting, lunges and sit to stand from chair.
- Progression of weight cuffs for lower limb from 0.5kg to 1kg and then from 5reps to 20.

	IPD	OPD
Intensity	Borg Scale 3-4/10, SpO ₂ <3%	THR=40-60%(HRR), SpO ₂ <4%
Frequency	1-2 times/day	2-3 times/ week
Duration	10-45mins including rest period	30-60mins
Strength training	Low intensity (Conditioning exercises), Daily	low to moderate intensity, 2-3 times/Week

Reassessment:

- Hemodynamic measures (SpO₂, HR, RR, BP, O₂ requirement) taken on every session.
- PALIFS will be done every week.

RESULT AND STATISTICAL ANALYSIS

Data analysis was done using the SPSS (Statistical Package for the Social Science) Version 23 for window. The

demographic variables, PALIFS score, musculoskeletal strength, duration needed for recovery were calculated with number and percentage. The paired t test was used to find significant differences of SpO₂ minimum and maximum, HR minimum and maximum, exercise tolerance before and after cardiopulmonary rehabilitation and unpaired t test was used to find the significant difference of length of stay between OPD and IPD, duration needed for recovery between male and females,

duration needed for recovery between comorbidity present and absent. Paired t test was used to see significant difference of recovery time with respect to age. The chi square test was used to find the significant association of PALIFS score in OPD and IPD. A probability value of 0.05 was accepted as the level of statistical significance.

From our study population demographic details can be summarised as follows,

Unit	OPD	52%
	IPD	48%
Gender	MALES	72%
	FEMALES	28%
Age groups	30-40	8%
	41-50	10%
	51-60	34%
	61-70	32%
	71 and above	16%

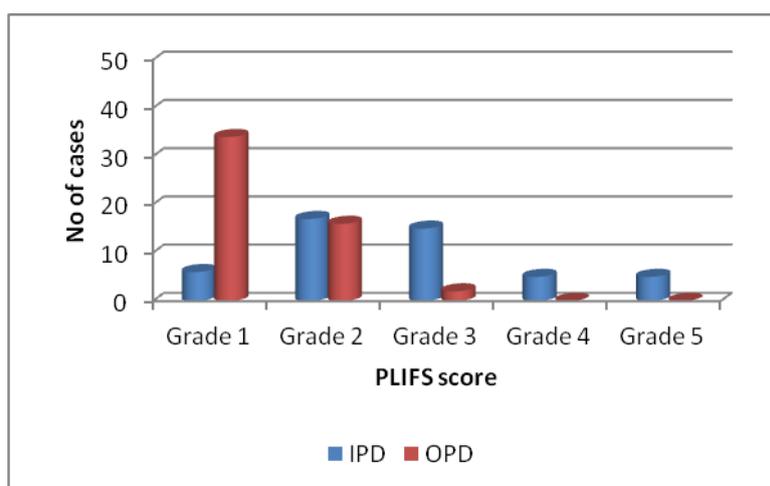
Also, the Post-Acute Lung Injury Functional Score,

PALIFS score	IPD	OPD	(%)
Grade 1	6	34	40
Grade 2	17	16	33
Grade 3	15	2	17
Grade 4	5	0	5
Grade 5	5	0	5
Total	48	52	100

Among the study population 48% of patients started their Rehab from ICU and

ward (IPD) whereas 52% of patient started their rehab on OPD basis. In IPD patients, Grade 1 patients were 6%, Grade2 were 17%, Grade3 were 15%, Grade 4 were 5% and Grade 5 were 5%

Whereas out of 52% of OPD patients, Grade 1 were 34%, Grade 2 were 16%, Grade 3 were 2% and no patient of Grade 4 or 5. And there was no statistically significant difference was found in length of stay of IPD and OPD patients.



A statistically significant difference (p value <0.0001) was found in oxygen saturation level at resting as well as post activity. From minimum oxygen saturation

level of 87.86% (mean value) it increased up to 94.20% (mean value) and maximum oxygen saturation level of 95.37% (mean value) increased up to 97.82% (mean value)

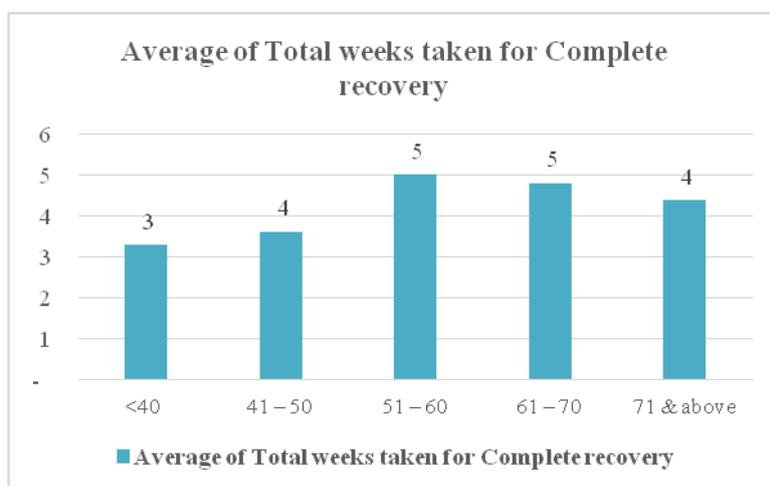
A statistically significant difference (p value <0.0001) was found in Heart Rate at resting as well as post activity. Resting as well as exertional tachycardia was seen less after cardiopulmonary rehabilitation. The resting heart rate reduced from 98.89bpm (mean value) to 84.03bpm (mean value) and post activity the heart rate changed from 127.76bpm (mean value) to 112.75bpm (mean value).

A statistically significant difference (p value <0.0001) was found in exercise tolerance, they could do basic activities of daily living with minimal or no exertion.

Their exercise duration increased from 11.08mins (mean value) to 66.06mins (mean value) including rest periods.

There was statistically significant difference (p value <0.0001) found the strength of both upper limb (From 3-/5 to 5/5 and lower limb muscles (from 2+/5 to 5/5).

There was a statistically significant difference found in recovery time when considered age groups. (p value=0.01) with advanced age the recovery time needed was more



There was no statistically significant difference found in recovery time when considered gender (p value=0.48) and presence or absence of comorbidities (p value=0.50).

There was no statistically significant difference found in length of hospital stay in OPD group and IPD group, p value =0.88.

DISCUSSION

In ICU, after they attained hemodynamic stability chest physiotherapy interventions were started and progressed as per their activity tolerance whereas in OPD as per their functional assessment and need for oxygen, exercise programme is formulated and progressed.

Pulse Oximetry played a crucial role in deciding the safety of this intervention. There was no rule of thumb to determine target intensity. It was based on real time

response of change in saturation with exercise or activity at least in the early phases of rehabilitation before the patient is eligible for a target heart rate exercise. Continuous monitoring of heart rate and oxygen saturation with pulse oximeter during exercise and in recovery phase was important. The mobilization was discontinued if saturation dropped more than 4% from resting or maximum of 87% and or if heart rate >30 beats from resting or maximum of 140 beats per minute. Low intensity graded functional and strengthening exercises with slow and sustained progression based on subjective measures like fatigue and breathlessness along with objective measures like HR, SpO₂ and need of oxygen.

Aerobic training has the capacity to improve oxygen consumption by conditioning the skeletal and respiratory

muscles for better oxygen uptake due to the physiologic changes in the structure and function of muscles at the cellular level¹⁶. Once the patient does not de-saturate with exercise and is hemodynamically stable, a target heart rate can be decided using the Karvonen's formula starting at 40 to 50 % of heart rate reserve and then progress to higher intensity based on the response.

The pro-inflammatory markers like IL6, IL1, TNF alpha etc, representing the presence of multisystem inflammation, show a decrease with regular aerobic exercise and there is a rise in IL-10 which is an anti-inflammatory marker. Also, exercise induced regeneration and re-endothelialisation of injured endothelium by increasing the number of circulating endothelial progenitor cell which would be beneficial in COVID-19 mediated endothelial apoptosis and endothelial cell membrane disruption. Antipathogenic activity of macrophages along with elevations in the circulation of immune cells, immunoglobulins and anti-inflammatory cytokines which modulates and improves the immune response. This reduces the influx of inflammatory cells which reduces the risk of lung damage and the hypercoagulability of blood due to COVID-19¹⁵.

Graded mobility exercises and functional training along with low to moderate intensity aerobic training improved oxygen transport at all levels. With improved peripheral oxygen uptake, it causes improvement in tissue perfusion of all organs¹⁶. This results in better oxygen saturation both at resting and post activity. As the cellular hypoxia recovers slowly, autonomic nervous system responds in a better way causing reduction in resting tachycardia¹⁶. With better saturation, reduced tachycardia, better functional mobility, the PALIFS Score also changed from Grade 5 to Grade 0.

Critical illness myopathies and polyneuropathies as well as steroid induced myopathies made patients more dependent on oxygen, loss of strength in proximal and

postural or antigravity muscles, along with sarcopenia, osteopenia/ osteoporosis was also seen^{17,18}. Low to moderate intensity strengthening exercises helped to maintain and combat the muscle loss by improving its fitness and ultimately improving peripheral oxygen uptake and muscle physiology, preventing muscle atrophy¹⁸.

A significant change was seen in recovery time when considered age. As with advanced age the recovery took a longer time, irrespective of the functional grade of the person and rehab group (IPD/OPD). From a large study including 1,068 healthy adults, it was shown that the circulating naïve CD4+ and CD8+ T cells would decrease with aging, which is a sign of immunosenescence of the immune system¹⁹. There is age related decline in chest wall compliance along with senile emphysema which reduces oxygenation. Loss of fast twitch fibres of diaphragm causes early fatigue and ventilatory failure during increased ventilatory load on pulmonary system. Additional age-related changes including reduced heart rate response, cardiac output and peripheral muscle mass may account for the decline in VO₂ max with age rather than reduced lung function alone²⁰.

No significant change in recovery with respect to gender and comorbidities was observed.

Out of 100 patients 34 patients needed High flow Oxygen or Invasive/Non-invasive Ventilation. Of these 34, 23 were IPD patients and 11 were OPD patients. In OPD patient group, 3 people needed pressure support ventilator and 7 needed high flow oxygen therapy (6-15litres/min) whereas in IPD study group 8 patients needed pressure support ventilator and 15 needed high flow oxygen therapy (6-50Litres/min). There was no significant change in length of stay of OPD and IPD patients. Because, IPD patient had more ICU stay due to more damaged lungs tissues, use of steroids to reduce the inflammation hence there was likely chances of developing more steroid induced

myopathies, critical illness neuropathies and there was very gradual increase in physical exercises. Delay in pulmonary rehabilitation references due to hemodynamic instabilities or inconsistency in sessions due to complications like pneumothorax, pneumomediastinum. Hence, IPD group had more severe cases and complications during recovery time. Overall, all these factors have impacted the recovery in IPD group and statistically no significant difference was seen in both group's recovery time.

But it is observed that, IPD patients took lesser time to recover from Grade 1 to Grade 0. 45% patients recovered in 1 week, 41% recovered in 2 weeks and 14% recovered in 3 weeks whereas, in OPD patients, only 5% recovered in 1 week, 11% in 2 weeks, 42% in 3 weeks, 25% in 4 weeks and 17% in 5 weeks. Hence, we can say when there is delayed pulmonary rehabilitation, the perceived exertion and dyspnoea takes more time to recover even if there is minimal or no need of oxygen supplementation.

Many studies have classified these patients as per their symptoms and radiological findings^{15,19,24} but we could not find any functional classification in these patients in recovery phase. This functional grading will be useful not only in COVID-19 patients but also in other viral/ bacterial pneumonias, ARDS, secondary lung injuries due to systemic illness where we can expect functional impairments due to lung injury.

Limitations of this study:

We did not use any other functional scale or any quality-of-life scale for their assessment.

Factors affected compliance in cardiopulmonary rehabilitation:-

1. Patients having underlying comorbidities showed more disease related anxiety and fear during mobilization.
2. Post COVID complication like Pneumothorax, Pneumomediastinum.

3. Delayed reference for cardiopulmonary rehabilitation.
4. Previous physical activity level- Active ones were easy to rehabilitate than the sedentary.
5. Patients facing transport issues- Since they were dependent on family members physically and financially.
6. Telerehabilitation- Restricted use of resources.
7. Advanced age- Difficulty in gaining cooperation.

CONCLUSION

We can conclude that cardiopulmonary rehabilitation has a positive effect in recovery of COVID-19 patients. Also, the Post-Acute Lung Injury Functional Scale is an easy, effective, and appropriate tool for the functional assessment of COVID-19 patients as well as for patients who had undergone any kind of lung injury.

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Ethical Approval: Approved

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